| Substitute            | for Form 144 | 9/PTO   |                                       | Complete if Known              |                                                    |                                                                  |  |
|-----------------------|--------------|---------|---------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------|--|
|                       | INFO         | ΔΝΛ     | TION DISCLOSUR                        | Application Number             | 10/593,107                                         |                                                                  |  |
|                       |              |         |                                       | Filing Date                    | 9/15/2006                                          |                                                                  |  |
|                       | STAT         | EME     | ENT BY APPLICAN                       | First Named Inventor:          | Shu Yuan                                           |                                                                  |  |
|                       |              | (use as | many sheets as necessary)             | Art Unit                       | 2812                                               |                                                                  |  |
|                       |              |         |                                       |                                | Examiner Name                                      | Not Yet Assigned                                                 |  |
| Sheet                 | 1            |         | of                                    | 3                              | Attorney Docket Number                             | 007989.P003                                                      |  |
|                       |              |         | U.S. PATE                             | NT DOCUMENTS                   | 3                                                  |                                                                  |  |
| Examiner<br>Initials* | Cite No.     |         | Document Number                       | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant |  |
|                       |              | Numi    | per-Kind Code <sup>2</sup> (If known) |                                |                                                    | Figures Appear                                                   |  |
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| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known) | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T° |  |  |  |
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| Examiner  | Date Considered |
|-----------|-----------------|
| Signature |                 |

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## Substitute for Form 1449/PTO Complete if Known **Application Number** 10/593,107 INFORMATION DISCLOSURE **Filing Date** 9/15/2006 STATEMENT BY APPLICANT First Named Inventor: Shu Yuan (use as many sheets as necessary) **Art Unit** 2812 **Examiner Name** Not Yet Assigned 3 **Attorney Docket Number** 007989.P003 Sheet 2 of **U.S. PATENT DOCUMENTS** Examiner Cite No. Publication Date Name of Patentee or Pages, Columns, Lines, MM-DD-YYYY Applicant of Cited Document Where Relevant Initials\* **Document Number** Passages or Relevant Number-Kind Code<sup>2</sup>(If known) Figures Appear us-US-USus-US-US-US-US-US-US-

| Examiner                               | Cite | Foreign Patent Document                                                         | Publication             | Name of Patentee or               | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sub>6</sub> |
|----------------------------------------|------|---------------------------------------------------------------------------------|-------------------------|-----------------------------------|------------------------------------------------------------------------------------|----------------|
| Initials*                              | No.' | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known) | Date<br>MM-DD-YYYY      | Applicant of Cited Document       |                                                                                    |                |
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|-----------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------|------------------------------------------------------------------------------------------------------------|----------------------------|----------------|--|
|                       |                         |                                                                                                                                                                                                                                                             |                           |                      | Application Number                                                                                         | 10/593,107                 |                |  |
| STAT                  | LEWE                    |                                                                                                                                                                                                                                                             | חפום                      | LOSURE               | Filing Date                                                                                                | 9/15/2006                  |                |  |
|                       |                         | NT B                                                                                                                                                                                                                                                        | Y API                     | PLICANT              | First Named Inventor:                                                                                      | Shu Yuan                   |                |  |
|                       | (use as n               | nany shee                                                                                                                                                                                                                                                   | its as neces              | sary)                | Art Unit                                                                                                   | 2812                       |                |  |
|                       |                         |                                                                                                                                                                                                                                                             |                           |                      | Examiner Name                                                                                              | Not Yet Assigned           |                |  |
| Sheet                 | 3                       |                                                                                                                                                                                                                                                             | of                        | 3                    | Attorney Docket Number                                                                                     | 007989.P003                |                |  |
| <u></u>               |                         | Antonio anno anno anno anno anno anno anno                                                                                                                                                                                                                  |                           | NON PATENT LIT       | TERATURE DOCUMENTS                                                                                         |                            |                |  |
| Examiner<br>Initials* | Cite<br>No <sup>1</sup> | Includ<br>ite                                                                                                                                                                                                                                               | le name of<br>em (book, r | the author (in CAPIT | AL LETTERS), title of the article erial, symposium, catalog, etc.), de lisher, city and/or country where p | ate, page(s), volume-issue | T <sup>2</sup> |  |
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| Examiner              |                         |                                                                                                                                                                                                                                                             |                           |                      |                                                                                                            | Date                       |                |  |

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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